

Advantage AccountSM Agreement

- Application
 Update

Account Registration

- C-Corporate
 S-Corporate
 Non-Corporate/Non-Profit
 Trust
 Investment Club (*Partnership or Corporation only*)
 Partnership
 Estate (Certificate of Qualification must be attached)
 LLC

- Pension or Profit-sharing Plan (IRAs, KEOGHs and ESOPs are not eligible)

- CHECK ONE:** Trustee Directed
 Prototype
 Participant Directed

Title of Account	Name		
	Name		
	Name		
	Street Address (Cannot be a P.O. Box)		Phone
	City	State	ZIP Code
	Sub Firm No.	Branch Code	FA Code
Account Number	Taxpayer Identification Number (Check Appropriate Box): <input type="checkbox"/> EIN <input type="checkbox"/> SSN		
<input type="checkbox"/> Sweep Option (select only One) <input type="checkbox"/> Standard Bank Deposit* <input type="checkbox"/> Money Market Fund			
<input type="checkbox"/> Expanded Bank Deposit*			
*(Eligibility requirements apply)			

Account Plan Descriptions:

<input type="checkbox"/> Advantage Basic - Includes an initial supply of complimentary personal-style checks - No Debit Card <input type="checkbox"/> Check here if you do NOT wish to receive checks for your account.	<input type="checkbox"/> Advantage Standard - Includes an initial supply of complimentary personal-style checks - Do you prefer corporate-style checks? <input type="checkbox"/> Yes (additional fee applies) <input type="checkbox"/> No - No more than 2 Visa [®] Debit Cards will be issued <input type="checkbox"/> Check here if you do NOT wish to receive checks for your account.
--	--

Identity Safeguard (Enter Mother's Maiden Name):

Alternate mailing address to deliver checks if other than Account Address:

Street Address _____ City _____ State _____ Zip Code _____

Cash Margin

Margin Account
TO OPEN A MARGIN ACCOUNT, YOU MUST BE ELIGIBLE FOR MARGIN PRIVILEGES AND ELECT TO OPEN A MARGIN ACCOUNT (Custodian, Guardian, ERISA, IRAs, Investment Club, and Pension and Profit-Sharing accounts are not eligible for margin). Margin trading entails greater risk and is not suitable for all investors. **If the market value of eligible securities in your Account declines, you may be required to deposit more money or eligible securities in order to maintain your line of credit.** By requesting a margin account and signing this Agreement, I acknowledge that I have received and read the Margin Disclosure Statement, the Basic Brokerage Account Agreement and Disclosure Document, and the Statement of Interest Charges. I understand that my securities may be pledged, repledged, hypothecated, or rehypothecated as deemed necessary.

Tax Certification: U.S. PERSON OR RESIDENT ALIEN: Under penalties of perjury, I certify that:

- The number shown on this form is my correct Taxpayer Identification Number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- I am a U.S. citizen or other U.S. person; and
- The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct. (NOTE: The FATCA code is not applicable for accounts maintained in the United States.)

You must cross out item 2) above if you have been notified by the IRS that you are currently subject to backup withholding because of under reporting interest or dividends on your tax return.

Signing Instructions: Specifically, with respect to the persons authorized to give any instructions on behalf of this Account, the undersigned represent that, at a duly called meeting of the undersigned Institution or in its governing instrument, as applicable, the individuals signing below in Section 1 are authorized to give any and all instructions with respect to this Advantage AccountSM ("Account") Agreement, and those signing Section 2 are specifically authorized to issue and sign Account Checks on behalf of the Institution. The undersigned agrees that list of authorized names can be relied on until written notice from the undersigned revoking, changing or adding authorized persons has been provided, in accordance with the notice provisions in the accompanying Terms and Conditions. In Section 1, all Fiduciaries of Trusts, Estates, Pension and Profit-Sharing plans and general partners of Partnerships must sign. For Corporations and all other organizations, the President and Secretary (or those with equivalent titles) must sign along with any other officer(s) authorized to give instructions on behalf of the Account. NOTE: If the Account has authorized an Investment Advisor, Plan Administrator or other third party to give trading or other instructions, please provide a copy of such authorization. If requested, does Client want us to provide Client's Account name and address to an issuer in which we hold securities in street name? SEC Rule 14b-1 prohibits such issuer from using the name and address for any purpose other than corporate communications. Yes No

Authorizing Signature(s) and Title(s): By signing this Agreement, the undersigned "Institution" and all individuals authorized to act on behalf of it (collectively the "undersigned") acknowledge that they have received a copy of and read, understand, and agree to the accompanying Advantage AccountSM Terms and Conditions.

SECTION 1 - Account Control: Those authorized to establish and control accounts and VISA[®] Debit Card holders (all applicable individuals must sign). Two authorized persons are required to sign below in order to effect any type of transaction of this Account. THE INTERNAL REVENUE SERVICE DOES NOT REQUIRE YOUR CONSENT TO ANY PROVISION OF THIS DOCUMENT OTHER THAN THE CERTIFICATIONS REQUIRED TO AVOID BACKUP WITHHOLDING. THIS AGREEMENT CONTAINS A PRE-DISPUTE ARBITRATION CLAUSE LOCATED ON PAGE 2 UNDER THE HEADER "ARBITRATION" AND BY REFERENCE, PAGE 2, PARAGRAPH 5 OF THE GENERAL OR BASIC BROKERAGE AGREEMENT AND DISCLOSURE. BY EXECUTING THIS DOCUMENT CLIENT IS AGREEING TO BE BOUND BY THE PRE-DISPUTE ARBITRATION CLAUSE. THE UNDERSIGNED HEREBY ACKNOWLEDGES RECEIPT OF A COPY OF THIS AGREEMENT AND THE ADVANTAGE ACCOUNT TERMS AND CONDITIONS.

SIGNATURE & TITLE 1 _____	SIGNATURE & TITLE 3 _____ <input type="checkbox"/> CHECK FOR ADDITIONAL VISA [®] DEBIT CARD
PRINT NAME & TITLE _____ DATE _____	PRINT NAME & TITLE _____ DATE _____
SIGNATURE & TITLE 2 _____	SIGNATURE & TITLE 4 _____ <input type="checkbox"/> CHECK FOR ADDITIONAL VISA [®] DEBIT CARD
PRINT NAME & TITLE _____ DATE _____	PRINT NAME & TITLE _____ DATE _____

SECTION 2 - Check Signatures: All authorized persons must sign below.

SIGNATURE & TITLE 1 _____	SIGNATURE & TITLE 3 _____
PRINT NAME & TITLE _____ DATE _____	PRINT NAME & TITLE _____ DATE _____
SIGNATURE & TITLE 2 _____	SIGNATURE & TITLE 4 _____
PRINT NAME & TITLE _____ DATE _____	PRINT NAME & TITLE _____ DATE _____

Arbitration - The following information concerns the arbitration of controversies:

This Agreement contains a pre-dispute arbitration clause. By signing an arbitration agreement, the parties agree as follows:

- **All of the parties to this Agreement are giving up the right to sue each other in court, including the right to a trial by jury, except as provided by the rules of the arbitration forum in which the claim is filed.**
- **Arbitration awards are generally final and binding; a party's ability to reverse or modify an arbitration award is very limited.**
- **The ability of the parties to obtain documents, witness statements and other discovery is generally more limited in arbitration than in court proceedings.**
- **The arbitrators do not have to explain the reason(s) for their award unless, in an eligible case, a joint request for an explained decision has been submitted by all parties to the panel at least 20 days prior to the first scheduled hearing date.**
- **The panel of arbitrators typically will include a minority of arbitrators who were or are affiliated with the securities industry.**
- **The rules of some arbitration forums may impose time limits for bringing a claim in arbitration. In some cases, a claim that is ineligible for arbitration may be brought in court.**
- **The rules of the arbitration forum in which the claim is filed, and any amendments thereto, shall be incorporated into this Agreement.**

No person shall bring a putative or certified class action to arbitration, nor seek to enforce any pre-dispute arbitration agreement against any person who has initiated in court a putative class action; or who is a member of a putative class who has not opted out of the class with respect to any claims encompassed by the putative class action until:

- (i) the class certification is denied; or**
- (ii) the class is decertified; or**
- (iii) the client is excluded from the class by the court.**

Such forbearance to enforce an agreement to arbitrate shall not constitute a waiver of any rights under this Agreement except to the extent stated herein.

Arbitration Provision:

It is agreed that all controversies or disputes which may arise between you and Introducing Firm, First Clearing* and any Sub-Advisor (and/or any other agent), (collectively, "us") concerning any transaction or the construction, performance or breach of this Agreement or any other agreement between us, whether entered into prior to, on, or subsequent to the date of this Agreement, including any controversy concerning whether an issue is arbitrable, shall be determined by arbitration conducted before, and only before, an arbitration panel set up by the Financial Industry Regulatory Authority ("FINRA") in accordance with its arbitration procedures. Any of us may initiate arbitration by filing a written claim with FINRA. Any arbitration under this Agreement will be conducted pursuant to the Federal Arbitration Act and the Laws of the State of New York. The state or federal statute of limitations, statute of repose, non-claim statute or any other time bar that would be applicable to any claim filed in a court of competent jurisdiction shall be applicable to any claim filed in arbitration.

*Account(s) carried by First Clearing. First Clearing is a trade name used by Wells Fargo Clearing Services, LLC, a registered broker-dealer and non-bank affiliate of Wells Fargo & Company.